

Equity Market- Investor Complaints Consolidated Data

Name of the Merchant Banker: Ambit Private Limited

SEBI Registration Number: INM000010585

Collective Data for month ending: February 2024

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^\ (in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 5 months on rolling basis)

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|--------------------------|---|---|---|---|
| 1 | November, 2023 | 1 | 2 | 1 | 2 |
| 2 | December, 2023 | 2 | 1 | 2 | 1 |
| 3 | January, 2024 | 1 | 0 | 1 | 0 |
| 4 | February, 2024 | 0 | 0 | 0 | 0 |
| 5 | March, 2024 | 0 | - | - | - |
| | Grand Total [§] | 0 | 0 | 0 | 0 |

^ Average Resolution time is the sum total of time taken to resolve each complaintin days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

\$Reflects the position for the reported month



Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the endof the particular year |
|----|-------------|---------------------------------------|---|---|---|
| 1 | 2021 | 0 | 6 | 3 | 3 |
| 2 | 2022 | 3 | 8 | 11 | 0 |
| 3 | 2023 | 0 | 38 | 37 | 1 |
| 4 | 2024 | 1 | - | 1 | - |
| 5 | 2025 | - | - | - | - |
| | Grand Total | 4 | 52 | 52 | 4 |

Note:

The data disclosed herein, only pertains to the following activities where the complaints have been received by Ambit Private Limited:

- Initial Public Offer (IPO,) FPO including OFS;

- SME IPO and FPO including OFS;
- Buyback;
- Rights Issue;
- Qualified Institutions Placement (QIP);
- Preferential Issue;
- Delisting of Equity Shares;
- Substantial Acquisitions of Shares and Takeovers.
- Debt;
- Public issue of REITs
- Public issue of InvITs
- Municipal Debt Securities
- Private placement of REITs
- Private placement of InvITs



1. Category: Initial Public Offer (IPO), FPO including OFS

Data for month ending - February 2024

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^\ (in days) |
|----|----------------------------------|---|---|--|--|------------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 5 months on rolling basis)

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the endof the particular month # |
|----|----------------|---|--|--|--|
| 1 | November, 2023 | 1 | 0 | 1 | 1 |
| 2 | December, 2023 | 1 | 1 | 2 | 0 |
| 3 | January, 2024 | 0 | 0 | 0 | 0 |
| 4 | February, 2024 | 0 | 0 | 0 | 0 |
| 5 | March, 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 2 | 1 | 3 | 1 |

^Average Resolution time is the sum total of time taken to resolve each complaintin days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)

| SN | Year | Carried forward fromprevious year | Received during the particularyear | Resolved during the particular year | Pending at the endof the particularyear |
|----|-------------|--------------------------------------|--|--|---|
| 1 | 2021 | 0 | 5 | 2 | 3 |
| 2 | 2022 | 3 | 3 | 6 | 0 |
| 3 | 2023 | 0 | 8 | 8 | 0 |
| 4 | 2024 | 0 | - | - | - |
| 5 | 2025 | - | - | - | - |
| | Grand Total | 3 | 16 | 16 | 3 |

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2. Category: SME (IPO), FPO including OFS

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^\ (in days) |
|----|----------------------------------|---|---|--|--|------------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Data for month ending – February 2024

Trend of monthly disposal of complaints (For 5 months on rolling basis)

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the endof the particular month # |
|----|----------------|---|--|--|--|
| 1 | November, 2023 | 0 | 0 | 0 | 0 |
| 2 | December, 2023 | 0 | 0 | 0 | 0 |
| 3 | January, 2024 | 0 | 0 | 0 | 0 |
| 4 | February, 2024 | 0 | 0 | 0 | 0 |
| 5 | March, 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

^Average Resolution time is the sum total of time taken to resolve each complaintin days, in the current month divided by total number of complaints resolved in the current month. * Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)

| SN | Year | Carried forward fromprevious year | Received during the particularyear | Resolved during the particular year | Pending at the endof the particularyear |
|----|-------------|--------------------------------------|--|--|---|
| 1 | 2021 | 0 | 0 | 0 | 0 |
| 2 | 2022 | 0 | 0 | 0 | 0 |
| 3 | 2023 | 0 | 0 | 0 | 0 |
| 4 | 2024 | 0 | - | - | - |
| 5 | 2025 | - | - | - | - |
| | Grand Total | 3 | 16 | 16 | 3 |

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3. <u>Category: Buyback</u>

Data for month ending – February 2024

| SN | Received from | Pending as at the end of | Received during the particular | Resolved during the particular | Total Pending during the particular | Pending complaints > 1 month | Average Resolution time^\ |
|----|-------------------------------------|--------------------------------|--------------------------------------|--------------------------------------|---|------------------------------------|---------------------------------|
| | | last month | month | month* | month # | | (in days) |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|----------------|---|---|---|--|
| 1 | November, 2023 | 0 | 1 | 0 | 1 |
| 2 | December, 2023 | 1 | 0 | 0 | 1 |
| 3 | January, 2024 | 1 | 0 | 1 | 0 |
| 4 | February, 2024 | 0 | 0 | 0 | 0 |
| 5 | March, 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 2 | 1 | 1 | 2 |

^ Average Resolution time is the sum total of time taken to resolve each complaintin days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling-basis)

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|----|-------------|--|---|---|---|
| 1 | 2021 | 0 | 1 | 1 | 0 |
| 2 | 2022 | 0 | 4 | 4 | 0 |
| 3 | 2023 | 0 | 30 | 29 | 1 |
| 4 | 2024 | 1 | - | - | - |
| 5 | 2025 | - | - | - | - |
| | Grand Total | 1 | 35 | 34 | 1 |

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4. Category: Rights Issue

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^\ (in days) |
|----|-------------------------------------|--|---|--|--|------------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | - | 0 | 0 | - |
| 2 | SEBI (SCORES) | 0 | 0 | - | 0 | 0 | - |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | - | 0 | 0 | - |
| 4 | Other Sources (if any) | 0 | 0 | - | 0 | 0 | - |
| | Grand Total | 0 | 0 | - | 0 | 0 | - |

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|----------------|---|---|---|--|
| 1 | November, 2023 | 0 | 0 | - | 0 |
| 2 | December, 2023 | 0 | 0 | - | 0 |
| 3 | January, 2024 | 0 | 0 | - | 0 |
| 4 | February, 2024 | 0 | 0 | - | 0 |
| 5 | March, 2024 | 0 | 0 | - | 0 |
| | Grand Total | 0 | 0 | - | 0 |

^ Average Resolution time is the sum total of time taken to resolve each complaintin days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling-basis)

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|----|-------------|--|---|---|---|
| 1 | 2021 | 0 | 0 | 0 | 0 |
| 2 | 2022 | 0 | 1 | 1 | 0 |
| 3 | 2023 | 0 | 0 | 0 | 0 |
| 4 | 2024 | 0 | - | - | - |
| 5 | 2025 | - | - | - | - |
| | Grand Total | 0 | 1 | 1 | 0 |

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5. <u>Category: Qualified Institutions Placement (QIP);</u>

Data for month ending – February 2024

| SN | Received from | Pendingas at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^\ (in days) |
|----|-------------------------------------|---|---|--|--|------------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|----------------|---|---|---|--|
| 1 | November, 2023 | 0 | 0 | 0 | 0 |
| 2 | December, 2023 | 0 | 0 | 0 | 0 |
| 3 | January, 2024 | 0 | 0 | 0 | 0 |
| 4 | February, 2024 | 0 | 0 | 0 | 0 |
| 5 | March, 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

^ Average Resolution time is the sum total of time taken to resolve each complaintin days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling-basis)

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|----|-------------|--|---|---|---|
| 1 | 2021 | 0 | 0 | 0 | 0 |
| 2 | 2022 | 0 | 0 | 0 | 0 |
| 3 | 2023 | 0 | 0 | 0 | 0 |
| 4 | 2024 | 0 | 0 | 0 | 0 |
| 5 | 2025 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

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6. <u>Category: Preferential Issue</u>

Data for month ending – February 2024

| SN | Received from | Pendingas at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^\ (in days) |
|----|-------------------------------------|---|---|--|--|------------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|----------------|---|---|---|--|
| 1 | November, 2023 | 0 | 0 | 0 | 0 |
| 2 | December, 2023 | 0 | 0 | 0 | 0 |
| 3 | January, 2024 | 0 | 0 | 0 | 0 |
| 4 | February, 2024 | 0 | 0 | 0 | 0 |
| 5 | March, 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

^ Average Resolution time is the sum total of time taken to resolve each complaintin days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling-basis)

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|----|-------------|--|---|---|---|
| 1 | 2021 | 0 | 0 | 0 | 0 |
| 2 | 2022 | 0 | 0 | 0 | 0 |
| 3 | 2023 | 0 | 0 | 0 | 0 |
| 4 | 2024 | 0 | 0 | 0 | 0 |
| 5 | 2025 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

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7. <u>Category: - Delisting of Equity Shares:</u>

Data for month ending – February 2024

| SN | Received from | Pendingas at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^\ (in days) |
|----|-------------------------------------|---|---|--|--|------------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|----------------|---|---|---|--|
| 1 | November, 2023 | 0 | 0 | 0 | 0 |
| 2 | December, 2023 | 0 | 0 | 0 | 0 |
| 3 | January, 2024 | 0 | 0 | 0 | 0 |
| 4 | February, 2024 | 0 | 0 | 0 | 0 |
| 5 | March, 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

^ Average Resolution time is the sum total of time taken to resolve each complaintin days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling-basis)

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|----|-------------|--|---|---|---|
| 1 | 2021 | 0 | 0 | 0 | 0 |
| 2 | 2022 | 0 | 0 | 0 | 0 |
| 3 | 2023 | 0 | 0 | 0 | 0 |
| 4 | 2024 | 0 | 0 | 0 | 0 |
| 5 | 2025 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

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8. <u>Category: Substantial Acquisitions of Shares and Takeovers</u>

Data for month ending – February 2024

| SN | Received from | Pendingas at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^\ (in days) |
|----|-------------------------------------|---|---|--|--|------------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|----------------|---|---|---|--|
| 1 | November, 2023 | 0 | 0 | 0 | 0 |
| 2 | December, 2023 | 0 | 0 | 0 | 0 |
| 3 | January, 2024 | 0 | 0 | 0 | 0 |
| 4 | February, 2024 | 0 | 0 | 0 | 0 |
| 5 | March, 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

^ Average Resolution time is the sum total of time taken to resolve each complaintin days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling-basis)

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|----|-------------|--|---|---|---|
| 1 | 2021 | 0 | 0 | 0 | 0 |
| 2 | 2022 | 0 | 0 | 0 | 0 |
| 3 | 2023 | 0 | 0 | 0 | 0 |
| 4 | 2024 | 0 | 0 | 0 | 0 |
| 5 | 2025 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

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9. Category: Debt

Data for month ending – February 2024

| SN | Received from | Pendingas at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^\ (in days) |
|----|-------------------------------------|---|---|--|--|------------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|----------------|---|---|---|--|
| 1 | November, 2023 | 0 | 0 | 0 | 0 |
| 2 | December, 2023 | 0 | 0 | 0 | 0 |
| 3 | January, 2024 | 0 | 0 | 0 | 0 |
| 4 | February, 2024 | 0 | 0 | 0 | 0 |
| 5 | March, 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

^ Average Resolution time is the sum total of time taken to resolve each complaintin days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (financial year) disposal of complaints (For 3 years on rolling-basis)

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|----|-------------|--|---|---|---|
| 1 | 2020-21 | 0 | 0 | 0 | 0 |
| 2 | 2021-22 | 0 | 0 | 0 | 0 |
| 3 | 2022-23 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

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10. Category: Public issue of REITs

Data for month ending – February 2024

| SN | Received from | Pendingas at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^\ (in days) |
|----|-------------------------------------|---|---|--|--|------------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For 12 months on rolling basis)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|-----------------|---|---|---|--|
| 1 | April, 2023 | 0 | 0 | 0 | 0 |
| 2 | May, 2023 | 0 | 0 | 0 | 0 |
| 3 | June, 2023 | 0 | 0 | 0 | 0 |
| 4 | July, 2023 | 0 | 0 | 0 | 0 |
| 5 | August, 2023 | 0 | 0 | 0 | 0 |
| 6 | September, 2023 | 0 | 0 | 0 | 0 |
| 7 | October, 2023 | 0 | 0 | 0 | 0 |
| 8 | November, 2023 | 0 | 0 | 0 | 0 |
| 9 | December, 2023 | 0 | 0 | 0 | 0 |
| 10 | January, 2024 | 0 | 0 | 0 | 0 |
| 11 | February, 2024 | 0 | 0 | 0 | 0 |
| 12 | March, 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

^ Average Resolution time is the sum total of time taken to resolve each complaintin days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of last 3 years

| SN | Year | Carried forward | Received | Resolved | Pending at the end |
|----|------|-----------------|-----------------|-----------------|--------------------|
| | | from | during the | during the | of the particular |
| | | previous year | particular year | particular year | year |

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| 1 | 2020-21 | 0 | 0 | 0 | 0 |
|---|-------------|---|---|---|---|
| 2 | 2021-22 | 0 | 0 | 0 | 0 |
| 3 | 2022-23 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |



11. Category: - Public issue of InvITs

Data for month ending – February 2024

| SN | Received from | Pendingas at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^\ (in days) |
|----|-------------------------------------|---|---|--|--|------------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For the financial year)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|-----------------|---|---|---|--|
| 1 | April, 2023 | 0 | 0 | 0 | 0 |
| 2 | May, 2023 | 0 | 0 | 0 | 0 |
| 3 | June, 2023 | 0 | 0 | 0 | 0 |
| 4 | July, 2023 | 0 | 0 | 0 | 0 |
| 5 | August, 2023 | 0 | 0 | 0 | 0 |
| 6 | September, 2023 | 0 | 0 | 0 | 0 |
| 7 | October, 2023 | 0 | 0 | 0 | 0 |
| 8 | November, 2023 | 0 | 0 | 0 | 0 |
| 9 | December, 2023 | 0 | 0 | 0 | 0 |
| 10 | January, 2024 | 0 | 0 | 0 | 0 |
| 11 | February, 2024 | 0 | 0 | 0 | 0 |
| 12 | March, 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

^ Average Resolution time is the sum total of time taken to resolve each complaintin days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of last 3 years

| SN | Year | Carried forward | Received | Resolved | Pending at the end |
|----|------|-----------------|-----------------|-----------------|--------------------|
| | | from | during the | during the | of the particular |
| | | previous year | particular year | particular year | year |

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| 1 | 2020-21 | 0 | 0 | 0 | 0 |
|---|-------------|---|---|---|---|
| 2 | 2021-22 | 0 | 0 | 0 | 0 |
| 3 | 2022-23 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |



12. Category: - Municipal Debt Securities

Data for month ending – February 2024

| SN | Received from | Pendingas at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^\ (in days) |
|----|-------------------------------------|---|---|--|--|------------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For the financial year)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|-----------------|---|---|---|--|
| 1 | April, 2023 | 0 | 0 | 0 | 0 |
| 2 | May, 2023 | 0 | 0 | 0 | 0 |
| 3 | June, 2023 | 0 | 0 | 0 | 0 |
| 4 | July, 2023 | 0 | 0 | 0 | 0 |
| 5 | August, 2023 | 0 | 0 | 0 | 0 |
| 6 | September, 2023 | 0 | 0 | 0 | 0 |
| 7 | October, 2023 | 0 | 0 | 0 | 0 |
| 8 | November, 2023 | 0 | 0 | 0 | 0 |
| 9 | December, 2023 | 0 | 0 | 0 | 0 |
| 10 | January, 2024 | 0 | 0 | 0 | 0 |
| 11 | February, 2024 | 0 | 0 | 0 | 0 |
| 12 | March, 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

^ Average Resolution time is the sum total of time taken to resolve each complaintin days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of last 3 years

| SN | Year | Carried forward | Received | Resolved | Pending at the end |
|----|------|-----------------|-----------------|-----------------|--------------------|
| | | from | during the | during the | of the particular |
| | | previous year | particular year | particular year | year |

Ambit Pvt. Ltd.

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| 1 | 2020-21 | 0 | 0 | 0 | 0 |
|---|-------------|---|---|---|---|
| 2 | 2021-22 | 0 | 0 | 0 | 0 |
| 3 | 2022-23 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |



13. Category: - Private placement issue of REITs

Data for month ending – February 2024

| SN | Received from | Pendingas at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^\ (in days) |
|----|-------------------------------------|---|---|--|--|------------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For the financial year)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|-----------------|---|---|---|--|
| 1 | April, 2023 | 0 | 0 | 0 | 0 |
| 2 | May, 2023 | 0 | 0 | 0 | 0 |
| 3 | June, 2023 | 0 | 0 | 0 | 0 |
| 4 | July, 2023 | 0 | 0 | 0 | 0 |
| 5 | August, 2023 | 0 | 0 | 0 | 0 |
| 6 | September, 2023 | 0 | 0 | 0 | 0 |
| 7 | October, 2023 | 0 | 0 | 0 | 0 |
| 8 | November, 2023 | 0 | 0 | 0 | 0 |
| 9 | December, 2023 | 0 | 0 | 0 | 0 |
| 10 | January, 2024 | 0 | 0 | 0 | 0 |
| 11 | February, 2024 | 0 | 0 | 0 | 0 |
| 12 | March, 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

^ Average Resolution time is the sum total of time taken to resolve each complaintin days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of last 3 years

| SN | Year | Carried forward | Received | Resolved | Pending at the end |
|----|------|-----------------|-----------------|-----------------|--------------------|
| | | from | during the | during the | of the particular |
| | | previous year | particular year | particular year | year |

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(Formerly known as Ambit Corporate Finance Private Limited)



| 1 | 2020-21 | 0 | 0 | 0 | 0 |
|---|-------------|---|---|---|---|
| 2 | 2021-22 | 0 | 0 | 0 | 0 |
| 3 | 2022-23 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |



14. Category: - Private placement issue of InvITs

Data for month ending – February 2024

| SN | Received from | Pendingas at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time^\ (in days) |
|----|-------------------------------------|---|---|--|--|------------------------------------|--|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints (For the financial year)-

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month * | Pending at the end of the particular month # |
|----|-----------------|---|---|---|--|
| 1 | April, 2023 | 0 | 0 | 0 | 0 |
| 2 | May, 2023 | 0 | 0 | 0 | 0 |
| 3 | June, 2023 | 0 | 0 | 0 | 0 |
| 4 | July, 2023 | 0 | 0 | 0 | 0 |
| 5 | August, 2023 | 0 | 0 | 0 | 0 |
| 6 | September, 2023 | 0 | 0 | 0 | 0 |
| 7 | October, 2023 | 0 | 0 | 0 | 0 |
| 8 | November, 2023 | 0 | 0 | 0 | 0 |
| 9 | December, 2023 | 0 | 0 | 0 | 0 |
| 10 | January, 2024 | 0 | 0 | 0 | 0 |
| 11 | February, 2024 | 0 | 0 | 0 | 0 |
| 12 | March, 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

^ Average Resolution time is the sum total of time taken to resolve each complaintin days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of last 3 years

| SN | Year | Carried forward | Received | Resolved | Pending at the end |
|----|------|-----------------|-----------------|-----------------|--------------------|
| | | from | during the | during the | of the particular |
| | | previous year | particular year | particular year | year |

Ambit Pvt. Ltd.

(Formerly known as Ambit Corporate Finance Private Limited)



| 1 | 2020-21 | 0 | 0 | 0 | 0 |
|---|-------------|---|---|---|---|
| 2 | 2021-22 | 0 | 0 | 0 | 0 |
| 3 | 2022-23 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |