

Equity Market- Investor Complaints Consolidated Data

Name of the Merchant Banker: Ambit Private Limited

SEBI Registration Number: INM000010585

Data for month ending: October 2023

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time [^] (in days)
1	Directly from Investors	0	1	1**	0	0	13
2	SEBI (SCORES)	0	0	-	0	0	-
3	Stock Exchanges (if relevant)	0	0	-	0	0	-
4	Other Sources (if any)	0	1	0	1	0	-
	Grand Total	0	2	1	1	0	13

Trend of monthly disposal of complaints (For 5 months on rolling basis)

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	July, 2023	0	0	-	0
2	August, 2023	0	4	4	0
3	September, 2023	0	0	-	0
4	October, 2023	0	2	1	1
5	November, 2023	1	-	-	-
	Grand Total	1	2	1	1

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

**The Complainant has withdrawn the complaint.

Ambit Pvt. Ltd.

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Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	0	6	3	3
2	2022	3	8	11	0
3	2023	0	35	34	-
4	2024	-	-	-	-
5	2025	-	-	-	-
	Grand Total	0	35	34	0

Note:

The data disclosed herein, only pertains to the following activities where the complaints have been received by Ambit Private Limited:

- Initial Public Offer (IPO)
- Buyback
- Rights Issue

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1. Category: Initial Public Offer (IPO)

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1	Directly from Investors	0	1	1**	0	0	13
2	SEBI (SCORES)	0	0	-	0	0	-
3	Stock Exchanges (if relevant)	0	0	-	0	0	-
4	Other Sources (if any)	0	1	0	1	0	-
	Grand Total	0	2	1	1	0	13

Trend of monthly disposal of complaints (For 5 months on rolling basis)

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	July, 2023	0	0	-	0
2	August, 2023	0	4	4	0
3	September, 2023	0	0	-	0
4	October, 2023	0	2	1	1
5	November, 2023	1	-	-	-
	Grand Total	1	2	1	1

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

** The Complainant has withdrawn the complaint.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	0	5	2	3
2	2022	3	3	6	0
3	2023	0	6	5	-
4	2024	-	-	-	-
5	2025	-	-	-	-
	Grand Total	0	6	5	0

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2. Category: Buyback

Data for month ending – October 2023

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1	Directly from Investors	0	0	-	0	0	-
2	SEBI (SCORES)	0	0	-	0	0	-
3	Stock Exchanges (if relevant)	0	0	-	0	0	-
4	Other Sources (if any)	0	0	-	0	0	-
	Grand Total	0	0	-	0	0	-

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

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1	July, 2023	0	0	-	0
2	August, 2023	0	0	-	0
3	September, 2023	0	0	-	0
4	October, 2023	0	0	-	0
5	November, 2023	0	-	-	-
	Grand Total	0	0	-	0

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Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	0	1	1	0
2	2022	0	4	4	0
3	2023	0	29	29	-
4	2024	-	-	-	-
5	2025	-	-	-	-
	Grand Total	0	29	29	0

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3. Category: Rights Issue

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1	Directly from Investors	0	0	-	0	0	-
2	SEBI (SCORES)	0	0	-	0	0	-
3	Stock Exchanges (if relevant)	0	0	-	0	0	-
4	Other Sources (if any)	0	0	-	0	0	-
	Grand Total	0	0	-	0	0	-

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4	October, 2023	0	0	-	0
5	November, 2023	0	-	-	-
	Grand Total	0	0	-	0

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1	2021	0	0	0	0
2	2022	0	1	1	0
3	2023	0	-	-	-
4	2024	-	-	-	-
5	2025	-	-	-	-
	Grand Total	0	1	1	0

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