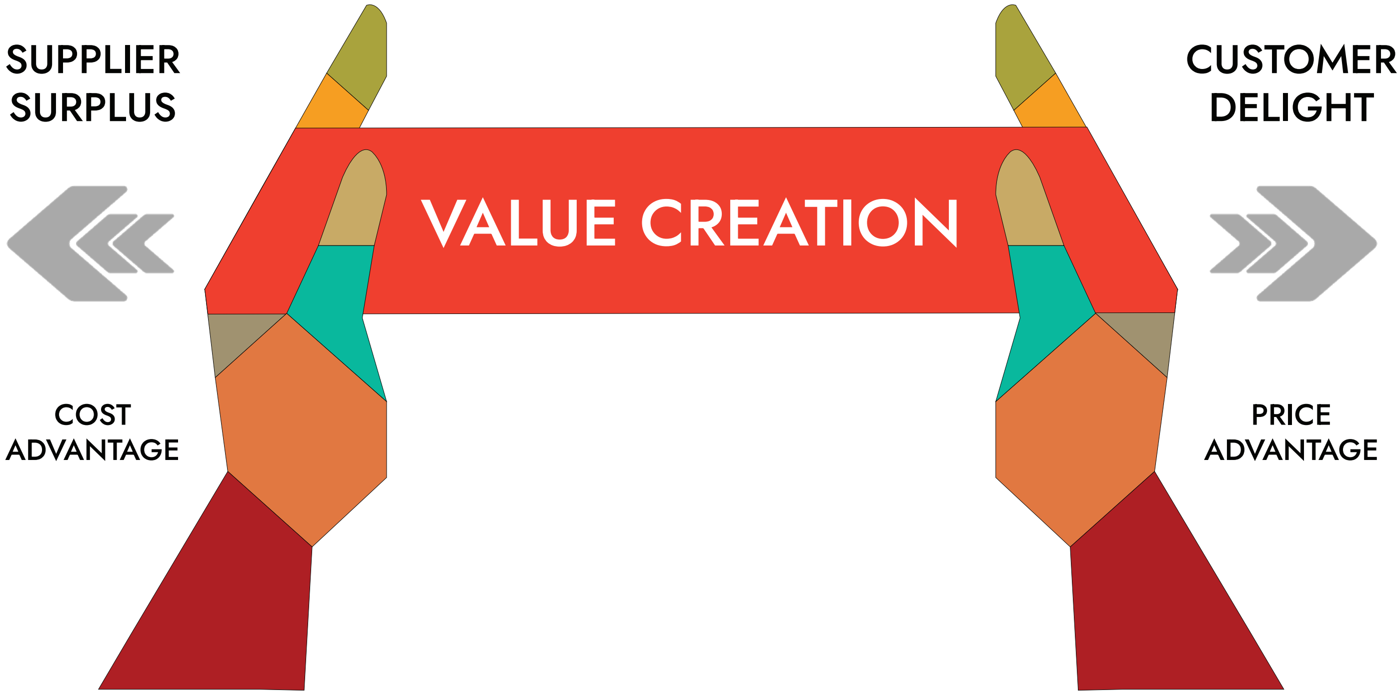
AMBIT
PRICING PROWESS FUND



An Open Ended Scheme of Ambit Investment Advisors Trust, a trust organized in India and registered with the Securities and Exchange Board of India {SEBI) vide vide registration no. IN/AIF3/25-26/1833 as category III Alternative Investment Fund and managed by Ambit Investment Advisors Private Limited.

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APPLICATION NO.

Name of the Client: ____________________

Fund Name - Ambit Pricing Prowess Fund

PPM Reference Number: ____________________

Ambit RM Name: ____________________

Ambit RM Location: ____________________

AMBIT EMPLOYEE DETAILS

Ambit Employee - ☐ Yes ☐ No

Related to Ambit Employee - ☐ Yes ☐ No

Employee Name: ____________________

Employee Code No: ____________________

Relation with Employee: ____________________

DISTRIBUTOR DETAILS

Distributor Name: ____________________

Distributor RM Name: ____________________

City Name: ____________________

Email: ____________________

Mobile No: ____________________

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CHECK LIST FOR FILLING KYC FORM (List of documents to be submitted) - For Individual and NRI Clients		
Checklist { List of documents to be submitted)		
Document	Individual	**NRI**
PAN Card	√	√
Indian Address Proof (Aadhaar/Driving Licence/Voter ID/ Indian Passport)	√	√
Cancelled Cheque Leaf	√	√
Nominee Identification Proof (PAN, Aadhaar copy etc.)	√	√
Passport Size Photos	1	4
Valid Passport Copy		√
Valid Visa Copy/Resident Card Copy		√
LatestOverseas Address Proof (Lease Agreement/Utility Bill/ Driving Licence)		√
Arrival in Indian Stamp Page of the Passport		√
OCI or POI Card If Foreign Passport		√
CML Copy For Demat		√

CHECK LIST FOR FILLING KYC FORM (List of documents to be submitted) - For Non-Individual					
Checklist { List of documents to be submitted)					
Document	**Company**	**Partnership Firm**	**Registered/ Unregistered Trust**	**LLP**	**NRI**
PAN Card	√	√	√	√	√
Address proof	√	√	√	√	√
Cancelled Cheque Leaf	√	√	√	√	√
Copy of GST certificate	√	√	√	√	√
Board resolution (as per Ambit format)/Declaration the person authorised to deal in securities on behalf of company and thier specimen to invest in securities market	√	√	√	√	
Copy of the balance sheets for last 2 financial years	√	√	√	√	
Copy of latest Shareholding pattern (As per Ambit format)	√	√	√	√	
Authorised signatories list with specimen signature along with photo, PAN & address proof(As per Ambit format)	√	√	√	√	
Copy of memorandum & Articles of Associations and Certificate of Incorporation	√				
Photograph, Proof of identification, Proof of address, PAN of beneficiary owner and UBO declarations who owns/entitled to more then 10% of shares/capital/profits (as per Ambit format)	√	√	√	√	
List of directores/Partners/Trustees along with PAN & address proof (as per Ambit format)	√	√	√	√	
Photograph, Proof of identification, Proof of address, PAN of DIN numbers of whole time directors/Karta/Partners/Trustees in charge of day to day operations.	√	√	√	√	√
Cetified copy of partnership/Trust Deed & Certificate of Registration (for registered partnership firms only)		√	√	√	
List of Coparceners/Deed of Declaration (as per Ambit format)					√

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IMPORTANT INSTRUCTIONS

1. All Individual documents should be self-attested by all the holders.
2. Name and address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
3. Thumb impression and signatures other than English, Hindi or any of the other language not contained in the 8th Schedule of A the Constitution of India must be attested by a Magistrate or a Notary Public or a special Executive Magistrate
4. All the fields in the Form must be filled up by the applicant otherwise the Form may be rejected
5. In case of Non Individual Applicant, signature shall be along with the Applicant's seal.
6. Incase of any correction/cancellation, please provide counter signature on the left side of the page.
7. If any proof of identity or address is in a foreign language, then translation into English is required.
8. In case of Merchant Navy NRI's Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.

Board Resolution Format

Format for Board resolution

On the letterhead of the Company/Trust/LLP

CERTIFIED TRUE COPY OF THE RESOLUTION PASSED BY THE BOARD OF DIRECTORS /TRUSTEES/ PARTNERS AT THE MEETING OF ______________________________HELD ON THE___________________________ DAYOF _______________________ AT__________________

"Resolved that the Directors /Trustees/Partners be and is hereby authorized to invest Rs. ____________________________ in Ambit Pricing Prowess Fund, a scheme of Ambit Investment Advisors Private Limited, a SEBI registered Cat III AIF.

Resolved Further that

Mr. ____ Directors /Trustees/Partners and/ or Mr.___________________________ __________________________Directors /Trustees/Partners of the Company/ Trust/LLP be and hereby jointly/severally authorized on behalf of the Company/ Trust/ LLP from time to time and to do all such acts , deeds and things including other necessary formalities incidental thereto and to sign all necessary agreements /deeds/documents/papers as may be required to be signed for, and to take necessary action to give effect to this resolution.

Certified true copy

For ______________________________

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A. **Details of the First Holder**

Demat Account Details

New Demat Account No. ______________________ ☐ Existing Demat Account ________________
☐ Physical Mode

1. Name of the Applicant: ____
Salutation: ______________________________________(For eg. Mr. Shah)
2. a. Gender: ☐Male ☐Female ☐Transgender Date of Birth: DD/MM/YYYY
b. Marital Status: ☐Single ☐Married ☐ Others ____________________________
3. Nationality: ☐Indian ☐Other _____________________________________
4. Status Please tick (√) ☐Resident Individual ☐ NRI ☐ Foreign national
5. PAN No. : _____________________ GST No.: _____________________________
6. Aadhaar No. : _____________________CKYC No. : ______________________
7. Mother Maiden Name: ____
8. Gross Annual Income Details in INR: ☐Below 1 Lakh ☐1-5 Lakhs ☐5-10 Lakhs ☐10-25 Lakhs
☐ 25 Lakhs-1 Crore ☐ >1Crore
Net Worth in INR. in Lakhs____________________________________ Net Worth as on DD/MM/YYYY
9. Occupation Details:☐ Business ☐Professional ☐ Public Sector ☐Housewife ☐ Retired ☐ Forex Dealer
☐Private Sector ☐ Government Service ☐ Agriculturist ☐Student
☐Others [Please specify], ____
10. Politically Exposed Person [PEP]: ☐ PEP ☐Related to PEP ☐ Not Applicable
11 . Are you a tax resident of any country other than India? ☐ Yes ☐No
12. If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below.

Country	Tax Identification Identification	Type Number (TIN or Other, please specify)

To also include USA, where the individual is a citizen/ green card holder of The USA
% In case Tax Identification Number is not available, kindly provide its functional equivalent.

Address Details of the First Holder

1. Complete Correspondence Address____
____
2. Contact Details: Contact Person :____
Family Name : _______________________________________ Head of Family : ☐Yes ☐No
Tel. (Off.): Tel. (Resi.): __________ Mobile : _______________Email-ID:________________________

B. Details of the Second Holder

1. Name of the Applicant :____
Salutation: ____ (For eg. Mr. Shah)
2. Relatioship with 1st Holder ☐Spouse ☐ Parent ☐Child ☐Other___________________________
3. a. Gender: ☐Male ☐Female ☐ Transgender
b. Marital Status: ☐ Single ☐ Married ☐Others ______________________________
c. Date ofBirth: DD/MM/YYYY
4. Nationality:☐ Indian ☐ Other _____________________________________

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5. Status Please tick (√) ☐ Resident Individual ☐ NRI ☐ Foreign national
6. PAN No.: ____________________ GST No.: ____________________
7. Aadhaar No.: ____________________ CKYC No. : ____________________
8. Mother Maiden Name :____________________
9. Gross Annual Income Details in INR: ☐ Below 1 Lakh ☐ 1-5 Lakhs ☐ 5-10 Lakhs ☐ 0-25 Lakhs
   ☐ 25 Lakhs-1 Crore ☐ >1Crore
   Net Worth in INR. in Lakhs____________________ Net Worth as on DD/MM/YYYY
10. Occupation Details ☐ Business ☐ Professional ☐ Public Sector ☐ Housewife ☐ Retired ☐ Forex Dealer
    ☐ Private Sector ☐ Government Service ☐ Agriculturist ☐ Student
    ☐ Others [Please specify], ____________________
11. Politically Exposed Person [PEP]: ☐ PEP ☐ Related to PEP ☐ Not Applicable
12. Are you a tax resident of any country other than India? ☐ Yes ☐ No
13. If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below.

Country	Tax Identification Identification	Type Number (TIN or Other, please specify)

To also include USA, where the individual is a citizen/ green card holder of The USA
% In case Tax Identification Number is not available, kindly provide its functional equivalent.

C. NOMINATION DETAILS (For Individuals/ Sole Proprietorship Firm)

☐ **I/WE WISH TO NOMINATE** ☐ **I/WE DO NOT WISH TO NOMINATE**

	Name of Nominee	Pan No. or Adhar No. of the Nominee	Relationship with Nominee	DOB of Nominee	If Nominee is Minor, Name & Contact Details of Guardian & Relationship with Minor	Share %
1						
2						
3						

D. INVESTMENT DETAILS

Cheque/ DD should be in favour of 'Ambit Pricing Prowess Fund'

Total Commitment Amount ____________ Amount (INR in words) ____________________
(Min. INR 1 Cr)
Initial Contribution Amount ____________ Amount (INR in words) ____________________

Name of the Bank____________________ Mode of Payment ☐ cheque ☐ DD
☐ RTGS
Account No. ____________ Account Type ____________ Cheque/DD/RTGS No.____________

Branch Address____________________

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E. BANK ACCOUNT DETAILS OF THE CONTRIBUTOR (For Payout)

Account Number ___________________________ Bank Name ____

Branch Name ____

Branch Address____

____

Account Type _______________________ MICR Code____

IFSC/RTGS Code________________________________ NEFT Code ____

AIF Declaration

I/We have read and understood the terms and conditions of the Private Placement Memorandum and Contribution Agreement of the Scheme/ Product/Fund and agree to abide by and be bound with the provisions of the same. All information and particulars given by myself/us are true and correct in all aspects. I/We agree to promptly inform the Investment Manager of any change in such information(s).

I/We confirm that the amount invested/ committed/contributed by me/us is through legitimate sources/channels only and does not involve and is not designed for the purpose of any contravention or evasion of the provisions of any Act, Rules, Regulations, Notifications or Directions of Income Tax Act, Prevention of Money Laundering Act, Anti Corruption Act or any other applicable laws, as may be in force from time to time:

FATCA CERTIFICATION

I/ We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I/ We also confirm that I/ We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

I/ We understand that the information is required under Rules 114F to 114H of The Central Board of Direct Taxes as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation. In relevant cases, information will have to be reported to tax authorities/ appointed agencies.

Should there be any change in any information provided to you, I/ We would inform you promptly, i.e., within 30 days.

I provide my consent for fetching of my KYC details from central databases like CVL KRA and CKYC databases. I have understood that the submission of the Aadhar card for proof of address/proof of identity is optional and purely on a voluntary basis by me. I hereby provide consent for E-KYC authentication using my Aadhaar number.

Photograph of 1st Holder (Please Sign Accross the Photograph)	Photograph of 2nd Holder (Please Sign Accross the Photograph)
1st Holder Signature	2nd Holder Signature

Place_____________________________ Date_____________________________

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Know Your Client - Non Individual

A. 1. Name of the Entity :____

2. Status: ☐ Sole Proprietor ☐ Partnership ☐ Corporate ☐ LLP ☐ HUF ☐ Registered Trust ☐ Unregistered Trust
☐ Other ______________________________

3. Date of Incorporation / Registration: ____

4. PAN: ____________________ GST IN : ____________________________(Please attach copy of certificate)

5. Registered No.: (eg. CIN) ____

6. Registered / Correspondence Office Address ____

____

City _______________ State _____________________Country__________Postal Code _____________

Phone No. ______________________________

7. Contact Person Name: ____

8. Designation: _________________________________

9. Email ID: ___________________________________

10. Mobile No. ______________________________

Demat Account Details

New Demat Account No. _______________ ☐ Existing Demat Account _____________________ ☐ Physical Mode

Stamp & Sign (Authorised Signatory)	Stamp & Sign (Authorised Signatory)	Stamp & Sign (Authorised Signatory)

B. INVESTMENT DETAILS

Cheque/ DD should be in favour of '**Ambit Pricing Prowess Fund**'

Total Commitment Amount _____________Amount (INR in words) ______________________________________
(Min. INR 1 Cr)

Initial Contribution Amount _____________Amount (INR in words) ______________________________________

Name of the Bank_____________________________________ Mode of Payment ☐ cheque ☐ DD ☐ RTGS

Account No. _______________________ Account Type ______________ Cheque/DD/RTGS No._______________

Branch Address____

C. BANK ACCOUNT DETAILS OF THE CONTRIBUTOR (For Payout)

Account Number ________________________ Bank Name ____

Branch Name ____

Branch Address____

____

Account Type ____________________ MICR Code____

IFSC/RTGS Code___________________________ NEFT Code ____

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D. KYC INFORMATION & FATCA-CRS DECLARATION • NON INDIVIDUAL

(Please consult your professional tax advisor for further guidance on your tax residency, FATCA/ CRS Guidance)

PAN* ____________________ Name of the Entity ____________________

City of incorporation ____________________

Country of incorporation____________________

Net Worth in INR. In Lakhs ____________________ Net Worth as on D D M M Y Y Y Y

Any other information (if applicable]

Entity Constitution Type (Please tick as appropriate)	a) Partnership Firm ☐ d) Society ☐ g) Limited Liability Partnership ☐	b) Private Limited Company ☐ e) AOP/BOI ☐ h) Artificial Juridical Person ☐	c) Public Limited Company ☐ f) Trust / Liquidator ☐ i) Others specify____________

Please tick the applicable tax resident declaration-

1. Is "Entity" a tax resident of any country other than India ☐YES ☐NO

(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

Country	Tax Identification Identification	Type Number (TIN or Other, please specify)

In case Tax Identification Number is not available, kindly provide its functional equivalent or Company Identification Number or Global Entity Identification Number ____________________

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

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FATCA-CRS Declaration

(Please consult your professional tax advisor for further guidance on FATCA classification

PART A (to be filled by Financial Institutions or Direct Reporting NFFEs)		
1	We are a, Financial institution[6] ☐ or Direct reporting NFFE[7] ☐(please tick as appropriate)	GIIN☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐ Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below Name of sponsoring entity☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐
	GIIN not available (please tick as applicable) ☐ Not required to apply for - please specify 2 digits sub-category[10] ☐☐ ☐Not obtained - Non-participating FI	

PART B (please fill any one as appropriate to be filled by NFEs other than Direct Reporting NFEs)		
1	Is the Entity a publicly traded company[1] that is, a company whose shares are regularly traded on an established securities market)	Yes☐ No☐ (If yes, please specify any one stock exchange on which the stock is regularly traded) Name of stock exchange____
2	Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market)	Yes☐ No☐ (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded) Name of listed company__________________________ Nature of relation: Subsidiary of the Listed Companys ☐ or Controlled by a Listed Company ☐
3	Is the Entity an active[3]NFE	Name of stock exchange Yes ☐ No☐ (If yes, please fill UBO declaration in the next section.) Nature of Business__________________________
4	Is the Entity a passive[4]NFE	Name of stock exchange Yes ☐ No☐ (If yes, please fill UBO declaration in the next section.) Nature of Business__________________________

[1]Refer 2a of Part D I [2]Refer 2b of Part D I [3]Refer 2c of Part D I [6]Refer 1 of Part D I [1]Refer 3(vii) of Part D I [10]Refer1A of Part D

UBO Declaration

Category (Please tick applicable category): ☐Unlisted Company ☐Partnership Firm ☐Limited Liability Partnership Company Unincorporated association/body of individuals ☐Public Charitable Trust ☐Religious Trust ☐Private Trust ☐Listed Company (Need not provide UBO details sought under) ☐Others (please specify____)

Please list below the details of controlling person(s), confirming ALL countries of tax residency/ permanent residency/ citizenship and ALL Tax Identification Numbers for EACH controlling person(s).

Owner-documented FFI's5 should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8BEN E

Name - Beneficial owner/ Controlling person #Country - Tax Residency* #Tax ID No.-or functional equivalent for each country%	#Tax ID Type - TIN or Other, please specify Beneficial Interest - in percentage #Type Codell- of Controlling person	Address - Include State, Country, PIN/ ZIP Code & Contact Details
1. Name____________________ Country____________________ Tax ID No.____________________	Tax ID Type ____________________ Beneficial Interest ____________________ Type Code ____________________	Address____________________ ____________________ ZIP.______State:______Country______
2. Name____________________ Country____________________ Tax ID No.____________________	Tax ID Type ____________________ Beneficial Interest ____________________ Type Code ____________________	Address____________________ ____________________ ZIP.______State:______Country______
3. Name____________________ Country____________________ Tax ID No.____________________	Tax ID Type ____________________ Beneficial Interest ____________________ Type Code ____________________	Address____________________ ____________________ ZIP.______State:______Country______

If passive NFE, please provide below additional details. (Please attach additional sheets if necessary)

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PAN City of Birth Country of Birth	Occupation Type • Service, Business, Others Nationality Father's Name - Mandatory if PAN is not available	DOB· Date of Birth Gender - Male, Female, Other
1. PAN ____________________ City of Birt____________________ Country of Birth________________	Occupation Type ____________________ Nationality ____________________ Father's Name ____________________	DOB: D D M M Y Y Y Y Gender: ☐Male ☐Female ☐Others
2. PAN____________________ City of Birt ____________________ Country of Birth________________	Occupation Type ____________________ Nationality ____________________ Father's Name ____________________	DOB: D D M M Y Y Y Y Gender: ☐Male ☐Female ☐Others
3. PAN ____________________ City of Birt____________________ Country of Birth________________	Occupation Type ____________________ Nationality ____________________ Father's Name ____________________	DOB: D D M M Y Y Y Y Gender: ☐Male ☐Female ☐Others

 # Additional details to be filled by controlling persons with tax residency/ permanent residency/ citizenship/ Green Card in any country other than India:
To include US, where controlling person is a US citizen or green card holder
% In case Tax Identification Number is not available, kindly provide functional equivalent

[4]Refer 3(iii) of Part D I [5]Refer 3(vi) of Part D I [11]Refer 3(iv) (A) of Part D

FATCA Terms and Conditions

Towards compliance with tax information sharing laws, such as FATCA, we would be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from our account holders. Such information may be sought either at the time of account opening or any time subsequently. In certain circumstances we may be obliged to share information on your account with relevant tax authorities. If you have any questions about your tax residency, please contact your tax advisor. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Towards compliance with such laws, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, we may also be constrained to withhold and pay out any sums from your account or close or suspend your account(s).
If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number. Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010.Please note that you may receive more than one request for information if you have multiple relationships with ABC. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

Declaration by Applicants:
I/We have read and understood the terms and conditions of the Private Placement Memorandum and Contribution Agreement of the Scheme/ Product/Fund and undertake and agree lo abide by and be bound with the provisions of the same. All informations and particulars given by myself/us are true and correct in all aspects. I/We agree to promptly inform the Investment Manager of any change in such information(s). I/We confirm that the amount invested/ commited/contributed by me/us is through legitimate sources/channels only and does not involve and is not designed for the purpose of any contravention or evasion of the provisions of any Act, Rules, Regulations, Notifications or Directions of Income Tax Act, Prevention of Money Laundering Act, Anti Corruption Act or any other applicable laws, as may be in force from time to time.

PART D FATCA Instructions & Definitions

1. Financial Institution {FI) - The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.

- Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
- Custodial institution is an entity that has a substantial portion of its business, holds financial assets for the account of others and where the entity's gross income attributable to holding financial assets and related financial services equals to or exceeds 20 percent of the entity's gross income during the shorter of -
  (1) The three financial years preceding the year in which determination is made; or
  (2) The period during which the entity has been in existence, whichever is less.
- Investment entity is any entity:
- that primarily conducts a business or operates for or on behalf of a customer for any of the following 3 activities-
  - Trading in money market instruments, foreign exchange, foreign currency, etc.
  - Individual or collective portfolio management
  - Investing, administering or managing funds, money or financial asset on behalf of other persons;

  or

  - The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.
  - (An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of:

  (i) the three-year period ending on 31 March of the year preceding the year in which the determination is made; or
  (ii) the period during which the entity has been in existence.
  The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06- refer point 2c.)
- Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

• FI not required to apply for GIIN:	
A. Reasons why FI not required to apply for GIIN	
Code	Sub-category
1	Governmental Entity, International Organization or Central Bank
2	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
3	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
4	Entity is an Indian FI solely because it is an investment entity
5	Qualified credit card issuer
6	Investment Advisors and Investment Managers
7	Exempt collective investment vehicle
8	Trustee of an Indian Trust
9	FI with a local client base
10	Non-registering local banks
11	FFI with only Low-Value Accounts
12	Sponsored investment entity and controlled foreign corporation
13	Sponsored, Closely Held Investment Vehicle
14	Owner Documented FFI

2. **Non-financial entity (NFE) • Foreign entity that is not a financial institution**

Types of NF Es that are regarded as excluded NFE are:

a. Publicly traded company (listed company)

A company is publicly traded if its stock are regularly traded on one or more established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange)

b. Related entity of a publicly traded company

The NFE is a related entity of an entity which is regularly traded on an established securities market;

C. Active NFE : {is any one of the following):	
Code	Sub-category
1	Less than 50 percent of the NF E's gross income for the preceding financial year or other appropriate reporting period is passive income and less than 50 percent of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;
2	The NFE is a Governmental Entity, an International Organization, a Central Bank , or an entity wholly owned by one or more of the foregoing;
3	Substantially all of the activities of the NFE consist of holding {in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for NFE status if the entity functions {or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
4	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
5	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
6	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
7	Any NFE is a 'non for profit' organization which meets all of the following requirements: It is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; It is exempt from income tax in India; It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or or other non-profit organization, or escheat to the government of the NFE's jurisdiction of residence or any political subdivision thereof.

3. Other definitions

(i) Related entity

An entity is a related entity of another entity if either entity controls the other entity or the two entities are under common control For this purpose, control includes direct or indirect ownership of more than 50% of the vote or value in an entity

(ii) Passive NFE

The term passive NFE means any NFE that is

(a) not an Active NFE or publicly traded entity or a entity related to a publicly traded entity

(b) the gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity

(c) a withholding foreign partnership or withholding foreign trust as defined under the relevant U.S. Treasury Regulations. (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)

(iii) Passive income

The term passive income means the portion of gross income that consists of

(a) Dividends, including substitute dividend amounts;

(b) Interest

(c) Income equivalent to interest, including substitute interest and amounts received from or with respect to a pool of insurance contracts if the amounts received depend in whole or part upon the performance of the pool;

(d) Rents and royalties, other than rents and royalties derived in the active conduct of a trade or business conducted, at least in part, by employees of the NFE

(e) Annuities

(f) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income

(g) The excess of gains over losses from transactions (including futures, forwards, and similar transactions) in any financial assets,

(h) The excess of foreign currency gains over foreign currency losses

(i) Net income from swaps

(j) Amounts received under cash value insurance contracts

But not passive income will not include in case of a non-financial entity that acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as a dealer.

iv) Controlling persons

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under the PMLA Rules. In the case of a trust, such term means the settlor, the trustees, the protector {if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions. Pursuant to guidelines on identification of Beneficial Ownership issued vide SEBI circular no. CIR/MIRSD/2/2013 dated January 24, 2013, persons (other than Individuals) are required to provide details of Beneficial Owner(s) ('BO'). Accordingly, the Beneficial Owner means 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest of / entitlements to:

i. More than 10% of shares or capital or profits of the juridical person, where the juridical person is a company;

ii. More than 10% of the capital or profits of the juridical person, where the juridical person is a partnership; or

iii. More than 10% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

Where the client is a trust, the financial institution shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership. Where no natural person is identified the identity of the relevant natural person who holds the position of senior managing official.

(A) Controlling Person Type:	
Code	Sub-category
1	CP of legal person-ownership
2	CP of legal person-other means
3	CP of legal person-senior managing official
4	CP of legal arrangement-trust-settler
5	CP of legal arrangement-trust-trustee
6	CP of legal arrangement-trust-protector
7	CP of legal arrangement-trust-beneficiary
8	CP of legal arrangement-trust-other
9	CP of legal arrangement-Other-settler equivalent
10	CP of legal arrangement-Other-trustee equivalent
11	CP of legal arrangement-Other-protector equivalent
12	CP of legal arrangement-Other-beneficiary equivalent
13	CP of legal arrangement-Other-other equivalent
14	CP of legal arrangement-Other-other equivalent

(v) Specified U.S. person -A U.S person other than the following:
- (a) a corporation the stock of which is regularly traded on one or more established securities markets;
- (b) any corporation that is a member of the same expanded affiliated group, as defined in section 1471 (e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- (c) the United States or any wholly owned agency or instrumentality thereof;
- (d) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- (e) any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- (f) any bank as defined in section 581 of the U.S. Internal Revenue Code;
- (g) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- (h) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- (I) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- (j) any trust that is exempt from tax under section 664© of the U.S. Internal Revenue Code or that is described in section 4947(a)(l) of the U.S. Internal Revenue Code;
- (k) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
- (I) a broker as defined in section 6045© of the U.S. Internal Revenue Code; or
- (m) any tax-exempt trust under a plan that is described in section 403(6) or section 457{g) of the U.S. Internal Revenue Code.

(vi) Owner documented FFI

An FFI meets the following requirements:
- (a) The FFI is an FFI solely because it is an investment entity;
- (b) The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company;
- (c) The FFI does not maintain a financial account for any non participating FFI;
- (d) The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and
- (e) The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereoij all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed-compliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFFE.

(vii) Direct reporting NFE

A direct reporting NFFE means a NFFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

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DECLARATIONS

1. I/We are KYC compliant. If not, we would complete our KYC as per SEBI Regulations before making the contribution.
2. I/We have received, read and understood the contents of the Private Placement Memorandum (PPM) of Ambit Pricing Prowess Fund including the investment philosophy.
3. I/We hereby agree to abide by the terms, conditions, rules and regulations governing Ambit Pricing Prowess Fund.
4. I/We have neither received nor have been induced by any rebate or gifts, directly or indirectly, for making this investment.

Certification

I have understood the information requirements of this Form (read along with the Instructions & Definitions) and hereby confirm that the information provided by us on this Form is true, correct, and complete. I also confirm that I have read and understood the FATCA Terms and Conditions above and hereby accept the same.

Name____

Designation____

Photograph of 1st Holder (Please Sign Accross the Photograph)	Photograph of 1st Holder (Please Sign Accross the Photograph)	Photograph of 1st Holder (Please Sign Accross the Photograph)	Photograph of 1st Holder (Please Sign Accross the Photograph)
Authorised Signatory & Stamp	Authorised Signatory & Stamp	Authorised Signatory & Stamp	Authorised Signatory & Stamp

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Risk Profiler For Individual

1	What would you consider your risk appetite to be when it comes to investing?	☐ High ☐Medium ☐Low ☐I don't Know
2	Which age range do you fall into?	☐18-35 ☐ 35-50 ☐ 50-60 ☐ Above 60
3	What is your marital status?	☐Single ☐Married ☐Married With Children
4	Expected years to retirement?	☐5-10 ☐11-15 ☐15-20 ☐20+
5	What is your current annual income?	☐Below 50 Lacs ☐Above 50 Lacs
6	What is your approximate net worth?	☐Below 2cr ☐ Rs 2cr or more
7	Are you dependent on the income generated from the investments being made, for your subsistence	☐Yes ☐No
8	Do you have experience of investing in the capital markets	☐Yes ☐No
9	Currently, what is your exposure to equities as a percentage of your net worth?	☐Above 30%, ☐below 30%, ☐I don't know
10	What is your time horizon, while you consider your investments?	☐Below 3 years, ☐above 3 years
11	Are you alright with investment in equity derivatives like Futures and Options which may be used to take fresh positions or to hedge the existing exposure?	☐Yes ☐No ☐Not Applicable
12	Are you alright with investing in Non-investment grade/ unrated/ high yield bonds which are classified as high risk/high return investments?	☐Yes ☐No ☐Not Applicable
13	Are you alright with investing in interest rate futures/interest rate swaps which may be used to take fresh positions or to hedge the existing exposure?	☐Yes ☐No

We want to understand your risk profile , financial situation, and particular needs . A key consideration in understanding product suitability form investment entails understanding client's tolerance risk. We thank you for your valuable time and efforts in helping us to better judge your requirements from the perspective of our fund/scheme/ product offering

I have understood the above questionnaire and all the answers given by me for each of the questions are true to be the best of my knowledge and belief.

1st Holder Signature / Authorised Signatory

2nd Holder Signature / Authorised Signatory

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Ambit Pricing Prowess Fund - Classes of Units

Indirect Plans

Tick	Class	Investment	Management Fee	Performance Fee	Hurdle Rate of Return
	Class A1	INR 1,00,00,000 < INR 2,00,00,000	1.50% p.a.	20%	10%
	Class A2	INR 2,00,00,000 < INR 5,00,00,000	1.25% p.a.	20%	10%
	Class A3	INR 5,00,00,000 < INR 25,00,00,000	1.00% p.a.	20%	10%
	Class A4	INR 25,00,00,000 & Above	0.50% p.a.	20%	10%
	Class E1	INR 1,00,00,000 < INR 2,00,00,000	2.50% p.a.	0	0
	Class E2	INR 2,00,00,000 < INR 5,00,00,000	2.10% p.a.	0	0
	Class E3	INR 5,00,00,000 < INR 25,00,00,000	1.75% p.a.	0	0
	Class E4	INR 25,00,00,000 & Above	0.95% p.a.	0	0

1st Holder Signature / Authorised Signatory

2nd Holder Signature / Authorised Signatory

Asset Management

Ambit Pricing Prowess Fund - Classes of Units

Direct Plans

Tick	Class	Investment	Management Fee	Performance Fee	Hurdle
	Class B1	INR 1,00,00,000 < INR 25,00,00,000	0	30%	Nifty 50 or 0%, whichever is higher
	Class B2	INR 25,00,00,000 & Above	0	20%	Nifty 50 or 0%, whichever is higher

1st Holder Signature / Authorised Signatory

2nd Holder Signature / Authorised Signatory

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Ambit Pricing Prowess Fund, is an open-ended scheme of the Trust.	
INVESTMENT OBJECTIVE AND STRATEGY	The investment objective of the Fund is to generate long-term capital appreciation for the Investors by being market-cap agnostic. The Fund will invest in securities of Portfolio Companies across the large, mid, and small cap segments. The objective of the Fund is to generate upside without compromising on the quality of companies (basis accounting quality, corporate governance, and improvement in financials) per Fund Documents and Regulations.
REDEMPTION	The Contributors have the right to exit from the Fund by redeeming the Units on the Valuation Day, either fully or partly, by submitting the duly completed and signed redemption forms (including any other document prescribed by the Investment Manager) to the Investment Manager by email/facsimile or hard copy or any other acceptable mode of communication as may be prescribed by the Investment Manager at least 2 (two) Business days prior to the relevant Valuation Day, or any other time as may be specified by the Investment Manager, failing which, the redemption request will be held over until the next Valuation Day.
EXPENSES OF THE FUND	Operating Expenses: The Operating Expenses of the Fund will be borne by the Fund at actuals and allocated to the holders of all Classes of Units, subject to a cap of 1% (one percent) p.a. of the respective NAV of the Contributors. Set-up Expenses: The Set-up Expenses from each holder of all Classes of Units shall be charged at actuals, subject to a cap of 1% (One percent) on their respective Capital Commitments. Other Expenses: Details of Other Expenses are given under paragraph 24 titled 'Expenses (including Operating Expenses and Set-up Expenses) charged to the Fund' in "SECTION VII: PRINCIPAL TERMS OF THE FUND". Please refer to "SECTION XII: ILLUSTRATION OF FEES, EXPENSES AND OTHER CHARGES" of this Memorandum for further details.
TERMS OF THE FUND	The Fund is open-ended with no definite tenure and shall terminate in accordance with the terms of the Fund Documents.
RISK FACTORS	The performance track record of such past investment funds managed and operated by the Investment Manager would be a function of the prevalent factors, including, inter alia, and not limited to, business, macro and micro economic, political conditions, and the relevant economic cycle that may have been applicable to such investment funds. The Fund may not necessarily accrue an advantage/disadvantage from the performance of previous investment funds as managed or operated by the Investment Manager. Therefore, judgments of the Investment Manager's expected performance cannot be extrapolated from the past performance of the Investment Manager. Please refer to the detailed risk factors under the 'SECTION X: RISK FACTORS' of the PPM.

Above are the summary key terms of offer. For brief details please refer to the Placement Memorandum of the Scheme.

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Self declaration

Ambit Pricing Prowess Fund
Scheme of scheme of Ambit Investment Advisors Trust
Investment Manager: Ambit Investment Advisors Private Limited,
Address: Ambit House, 449, Senapati Bapat Marg, Lower Parel, Mumbai - 400013.
Tel: +91 22 6623 3281

Dear Sir/Madam,
Sub: Investment in Ambit Pricing Prowess Fund, a scheme of Ambit Investment Advisors Trust

I / We, ____ (Name of the contributor) ______________________,
____________on behalf of _________________________________ (Name of company / LLP / Partnership Firm / Trust / HUF)

(in case of Non Individual investor) do hereby confirm that I/We have read and understood all the terms and conditions of the Contribution Agreement ("Agreement") and Private Placement Memorandum ("PPM"). All the terms and conditions of the Agreement and the PPM have been explained to me/us at length including following points and have been understood by me/us. The following points are without prejudice to the terms of the Agreement and the PPM. A copy of the Agreement and the PPM were provided to me/us for our perusal.

Type of Investment - The Fund is open-ended with no definite tenure and shall terminate in accordance with the terms of the Fund Documents.

Yours faithfully,

1st Holder Signature / Authorised Signatory

2nd Holder Signature / Authorised Signatory

Name: ____________________________________

Date: ____________________________________

Place: ____________________________________

Name: ____________________________________

Date: ____________________________________

Place: ____________________________________

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Declaration and Undertakings

I / We certify that:

a) the information provided in the Form is in accordance with section 285BA of the Income Tax Act, 1961 read with Rules 114F to 114H of the Income tax Rules, 1962.

b) the information provided by me/us in the Form, its supporting Annexures as well as in the documentary evidence provided by me/us are, to the best of our knowledge and belief, true, correct and complete and that I/we have not withheld any material information that may affect the assessment/categorization of the account as a Reportable account or otherwise.

c) I/We permit/authorise the Company to collect, store, communicate and process information relating to the Account and all transactions therein, by the Company and any of its affiliates wherever situated including sharing, transfer and disclosure between them and to the authorities in and/or outside India of any confidential information for compliance with any law or regulation whether domestic or foreign.

d) I / We undertake the responsibility to declare and disclose within 30 days from the date of change, any changes that may take place in the information provided in the Form, its supporting Annexures as well as in the documentary evidence provided by us or if any certification becomes incorrect and to provide fresh selfcertification alongwith documentary evidence.

e) I/ We also agree that our failure to disclose any material fact known to us, now or in future, may invalidate our application and the Company would be within its right to put restrictions in the operations of my/our account or close it or report to any regulator and/or any authority designated by the Government of India (GOI) /SEBI for the purpose or take any other action as may be deemed appropriate by the Company if the deficiency is not remedied by us within the stipulated period.

f) I / We hereby accept and acknowledge that the Company shall have the right and authority to carry out investigations from the information available in public domain for confirming the information provided by me/ us to the Company.

g) It shall be my / our responsibilities to educate myself / ourself and to comply at all times with all relevant laws relating to reporting under section 285BA of the Act read with the Rules thereunder.

h) I/We also agree to furnish such information and/or documents as the Company may require from time to time on account of any change in law either in India or abroad in the subject matter herein.

i) I/We shall indemnify the Company for any loss that may arise to the Company on account of providing incorrect or incomplete information.

j)A I/ We certify that I/we have the capacity to sign for the Entity as per CBDT rules/SEBI guidelines.

“I/We have received the Private Placement Memorandum provided by the Investment Manager and have read and understood and accepted the same unconditionally. I/We have read and understood the terms and conditions of the Contribution Agreement and agree to sign and abide by the same. I/We have disclosed all the details properly and correctly in this Application Form. I/We undertake to provide all the disclosures as required under SEBI (Alternative Investment Funds) Regulations 2012, Prevention of Money-Laundering Act, 2002 as amended from time to time and any other Acts/Regulations.

I/We hereby declare that the amount given/to be given by myself to the Investment Manager for investing on my/our behalf* is derived through legitimate sources and is not held or designed for the purposes of contravention of any act, rules, regulations or any statute or legislation including the Prevention of Money-Laundering Act, 2002 or any other applicable laws or any acts, regulations issued by any governmental or statutory authority from time to time.
We authorize the Investment Manager to take temporary investment/up-on realization of these funds. Such investment shall be made at the sole discretion of all such cases and charges as mentioned in the Contribution Agreement. *We agree and undertake to indemnify the Fund/s/Investment Manager and the Trustee in the event of any claims/disclosure raised by them by reason of their investments in this regard. In case of joint application, the minimum commitment and contribution amount shall be 1 Cr per investor.

However, two joint applicants among family members can invest with a minimum commitment of one crore rupees. Family members for this purpose shall mean (i) an investor and his/her spouse (ii) an investor and his/her parent (iii) an investor and his/her sibling (iv) an investor and his/her child (v) such other applicant shall bind the other beneficial interest in Ambit Atulya India Fund in case a non-family applicant is introduced among the family members as mentioned above, then for each applicant, the minimum commitment and contribution in Ambit Atulya India Fund shall be one crore rupees.

Where the Client or the owner of the controlling interest is a non-individual such as a public stock exchange, or a pension/superannuation fund, or a sovereign wealth fund, it is not necessary to identify and verify the identity of any shareholder or beneficial owner in such entities. As required under applicable regulations, additional details like status, occupation details, purpose of investment, net worth and other KYC norms as mentioned in the investor application form are mandatory for Indian Nationals as applicants and optional for individual applicants in lieu of a passport copy and visa copy. These details can be submitted offline or date which is within one year of the application.

Where the Client or the owner of the controlling interest is a non-individual such as a public stock exchange, or a pension/superannuation fund, or a sovereign wealth fund, it is not necessary to identify and verify the identity of any shareholder or beneficial owner in such entities.

As required under applicable regulations, additional details l@tatus, occupation details, purpose of investment, net worth and other KYC norms as mentioned in the investor application form are mandatory for Indian Nationals as applicants and optional for individual applicants in lieu of a passport copy and visa copy. These details can be submitted offline or date which is within one year of the application.

Applications are liable to be rejected without any intimation in the application, if requirement under KYC are not complied with/fulfilled by all the applicants. e.g. KYC acknowledgement is not enclosed or any of the additional details are not provided in the application form duly signed by all the applicant(s).

I/We confirm and understand that my Application, and other documents attached are subject to verification and scrutiny for compliance with the "KYC requirements"** prescribed, from time to time, by Ambit Investment Advisors Private Limited (the Investment Manager) under the Prevention of Money Laundering Act, 2002 and other applicable Acts and Regulations and I/We understand that my/our application can be rejected for any/all reasons including but not limited to discrepancy, non-compliance, non-completion with the documentation requirements without any reason being assigned thereof by AIAPL.

I/We confirm, agree and understand that the process of verification by AIAPL may normally take upto two weeks from the date of receipt of documents by AIAPL and I/We will not hold AIAPL liable for any market movement or notional loss, interest loss or any other pecuniary loss or any pecuniary claim for the period beginning from the date of submission of my Application and Documents and upto the date of receipt of refund, if any and its realisation by me.

I/We confirm and understand that any cheque/pay-order and/or securities given by me/us may be encashed/liquidated by AIAPL and in so doing I/We understand that the proceeds may be ultimately invested only upon satisfactory documents being provided by me/us initially and in case of any deficiency, defect in document, incomplete documentation or for any other cause, I/We agree to receive back my/our original consideration without raising any claims for any interest, market movements and/or raise any claims for any other reason connected with making my/our Application and agree and understand that I/We am/are making the Application at my/our own risk. I/We understand that I/We may receive back my/our original consideration without any interest or appreciation. I/We agree to hold AIAPL harmless and not liable for any such losses and agree that I/We will raise not claims against AIAPL for any rejection due to such causes. I/We understand that AIAPL may assign no reason for the rejection and has no obligation to request for any correction, completion of either incorrect or incomplete documentation by me/us.

I/We also agree to co-operate with AIAPL for the purposes of any regulatory requirements and for any additional information requirements which may be required to be provided to any regulatory authority by AIAPL.

"KYC Requirements" means the Know Your Client requirements which are required to be fulfilled by the Investment Manager and includes the obligation of the Client to provide completely and correctly all supporting documents as may be required or determined, from time to time by the Investment Manager for compliance with the regulatory obligations.

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Declaration/Undertaking by joint client

We confirm that for administrative purpose the remittance of the contribution amount is being done through single bank account, via cheque/electronic transfer as per the details mentioned above. We hereby confirm that both of us have made contributions to the aforesaid bank account from which the investment is being done.

This declaration shall form an integral part of the Agreement executed by us with IDBI Trusteeship Services Limited and Ambit Investment Advisors Private Limited.

1st Holder Signature / Authorised Signatory	2nd Holder Signature / Authorised Signatory

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Format for name mismatch in the proofs

Dear Sir,
I hereby declare that the details given in my application is as follows:

	First Applicant	Second Applicant
Name as per the application form		
Name as per PAN		
Name as per ID proof		
Name as per address proof		
Name as per Bank proof		

Further, request you to note that the details given in all the above documents pertains to me. Therefore I request you to open my account as per name mentioned on the PAN Card / KRA records and issue me all the payouts in that name only.

1st Holder Signature / Authorised Signatory

2nd Holder Signature / Authorised Signatory

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Acknowledgement slip

Received from
(Applicant Name) ____________________________________

Indirect Plans

Tick	Class	Investment	Management Fee	Performance Fee	Hurdle Rate of Return
	Class A1	INR 1,00,00,000 < INR 2,00,00,000	1.50% p.a.	20%	10%
	Class A2	INR 2,00,00,000 < INR 5,00,00,000	1.25% p.a.	20%	10%
	Class A3	INR 5,00,00,000 < INR 25,00,00,000	1.00% p.a.	20%	10%
	Class A4	INR 25,00,00,000 & Above	0.50% p.a.	20%	10%
	Class E1	INR 1,00,00,000 < INR 2,00,00,000	2.50% p.a.	0	0
	Class E2	INR 2,00,00,000 < INR 5,00,00,000	2.10% p.a.	0	0
	Class E3	INR 5,00,00,000 < INR 25,00,00,000	1.75% p.a.	0	0
	Class E4	INR 25,00,00,000 & Above	0.95% p.a.	0	0

Direct Plans

Tick	Class	Investment	Management Fee	Performance Fee	Hurdle
	Class B1	INR 1,00,00,000 < INR 25,00,00,000	0	30%	Nifty 50 or 0%, whichever is higher
	Class B2	INR 25,00,00,000 & Above	0	20%	Nifty 50 or 0%, whichever is higher

Capital Commitment Amount Rs ______________________________________

Initial Drawdown Amount Rs ____

Cheque No. ____________________ Cheque Amount __________________ Date _________________

Bank Drawn on ____

Acknowledgement Stamp

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Declaration - No Pan
To be submitted by all unit holders (Individual) & Non-individual separately, as applicable

To,
Ambit Investment Advisors Private Limited
Mumbai
I/ We ____, hereby confirm the following:

- I/ We do not and will not qualify as a "resident" of India under Sector 6 of the Indian Income Tax Act, 1961 ("ITA")
- I/ We do not hold a Permanent Account Number ('PAN') in India.
- I/ We am/ are and will continue to be a tax resident of ___________________(country),
- I/ We do not envisage earning any income in India (other than income from investment in specified fund as defined under Rule 114AAB of Income- tax Rules, 1962) during financial year ______________.
- I/ We hereby furnish a copy of valid Tax Residency Certificate dated ____________ have Tax Identification Number ________________ issued by ________________(Name of the country) Tax Authorities for the period __________________ (state period mentioned TRC).

As per Rule 114AAB, we furnish the following details

Sr.No.	Particular	Details
1	Unit Holder's Name	
2	Email ID	
3	Contact No.	
4	Address in the Country of Residence	
5	Tax Identification Number (TIN)	
6	Unique Identification Number (if TIN is not available)	

I / We ____ (Insert full Name), solemnly declare that the information provided above is correct and complete to the best of my/ our knowledge and belief. I / We hereby undertake that I/ We shall Immediately inform you in case of any change in the information provided above.

1st Holder Signature /
Authorised Signatory

2nd Holder Signature /
Authorised Signatory

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BANK DETAILS FOR TRANSFERRING FUNDS IN COLLECTION ACCOUNT

BANK NAME	ICICI Bank Ltd
TYPE OF ACCOUNT	Current A/C
ACCOUNT NUMBER	000405164062
MICR CODE	400229002
IFSC CODE	ICIC0000004
ACCOUNT TITLE	AMBIT PRICING PROWESS FUND-COLLECTION ACCOUNT
BANK ADDRESS	ICICI Bank Ltd, 215 ,Free Press House, Free Press Marg,Nariman Point, Mumbai 400021

Note: Kindly provide scan copies of acknowledged bank deposit slip, cheque copy and RTGS/ NEFT copy once the payment is done

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Ambit Investment Advisors Private Limited - 2103/2104, 21st Floor,
One Lodha Place, Senapati Bapat Marg, Lower Parel, Mumbai - 400 013.
Phone: +91 22 6623 3281 / +91 22 6623 3187 | Email - amsales@ambit.co | www.ambit.co/asset-management